9-4-01 2161

Patent Application

Attorney Docket No. DEM1P004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Patent Application of: Delurgio et al.

Serial No.: 09/741,957

Filed: December 20, 2000

For: FINANCIAL MODEL ENGINE

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SEP 07 2001

Examiner: Unknown Group 2100

Box: Patent Application

Hon. Assistant Commissioner for Patents

Washington, D.C. 20231

August 31, 2001

Dear Sirs:

Certificate of Mail by Express Mail under 37 CFR § 1.10

EXPRESS MAIL LABEL NO.: <u>EK797900825US</u>

Date of Deposit: August 31, 2001

Art Unit: 2161

I hereby certify that this paper, and the enclosed Information Disclosure Statement form -- list of documents and six (6) cited documents are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

<u>INFORMATION DISCLOSURE STATEMENT FILED</u>

<u>UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)</u>

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

- 1. "Report of Novelty Search" by Patentec, dated July 25, 2001.
- 2. U.S. Patent Number 6,205,431 to T. Willemain et al. entitled "SYSTEM AND METHOD FOR FORECASTING INTERMITTENT DEMAND."

- 3. U.S. Patent Number 5,459,656 to R. Fields et al. entitled "BUSINESS METHOD PROJECTION SYSTEM AND METHOD."
- 4. U.S. Patent Number 5,299,115 to R. Fields et al. entitled "PRODUCT DEMAND SYSTEM AND METHOD."
- 5.
- U.S. Patent Number 5,799,286 to J. IVIOI gain Co. L. ACTIVITY-BASED MANAGEMENT SYSTEM."

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 U.S. Patent Number 5,732,401 to D. Conway entitled "ACTIVITY BASED 7 2001

 Group 2100 6.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicants believe this paper is submitted before the mailing date of a first office action of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,

Kang S. Lim

Attorney for Applicant(s)

Reg. No. 37,491

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DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. **DEM1P004**

SERIAL NO. 09/741,957

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APPLICANT

Delurgio at el.

FILING DATE 12/20/00 **GROUP**

HIS DATENT DOCUMENTS

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	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING I	
AA	6,205,431	03/20/01	W	Villemain et al.	705	10		
AB	5,459,656	10/17/95		Fields et al.	364	401		
AC	5,299,115	03/29/94		Fields et al.	364	401		
AD	5,799,286	08/25/98	.]	Morgan et al.	705	30		
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	OTHER D	OCUMENT	S (Including	Author, Title, Date, Per	rtinent Pages, Et	c.)		
AI "Report of Novelty Search" by Patentec, dated July 25, 2001								
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.